

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OTP E Applicants:

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Reilly, Craig B. Zilles, Tryggve Fossum, Christopher F. Joerg and

James E. Hicks, Jr.

Application No.:

09/411,194

Group: 2781

Filed:

October 1, 1999

For:

Method and Apparatus to Quiesce a Portion of a Simultaneous

Multithreaded Central Processing Unit

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on Occumber 10 (1999)
Date

Chrile Menais
Signature

Jennifer Menard

Typed or printed name of person signing certificate

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated October 28, 1999, the following documents and fees are being submitted for filing in the captioned application:

- [X] EXECUTED DECLARATION AND POWER OF ATTORNEY BY ASSIGNEE
 - A copy of the Notice is attached.

(Separate transmittal letter and postcard not required)

[X] FILING FEE - with Fee Transmittal for Patent Applications in duplicate.

(Separate transmittal letter and postcard not required)

[X] **SURCHARGE** - surcharge fee of \$130.00.

(Separate transmittal letter and postcard not required)

[] **SEQUENCE LISTING -** Filed concurrently and is attached.

(Separate transmittal letter and postcard required)

· · · · ·	-,							
[]	PETITION FOR EXTENSION OF TIME [] Applicant hereby petitions to extend the time to respond to the Notice to File							
	. ,	Missing Parts dated [] for [] month(s) from [] to []. The appropriate fee of \$[] is included in the enclosed check.						
	[]	A [] month extension of time to respond to the Notice to File Missing Parts dated [] was filed on [] with payment of a \$[] fee. [] Applicant hereby petitions for an additional [] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[] is included in the enclosed check. Petition for Extension of Time and postcard not required)						
[]	REQUEST FOR CORRECTED FILING RECEIPT - Filed concurrently and is attached. (Separate transmittal letter and postcard required)							
[]	VERI	FIED STATEMENT CLAIMING SMALL ENTITY STATUS						
	[]	Was filed on [].						
	[]	Is enclosed herewith. (Separate transmittal letter and postcard not required)						
	[]	In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[] which were paid on [] to be deposited in Deposit Account No. 08-0380.						
fees t	e total ar that may	ees required for filing the indicated documents are enclosed in the form of a check mount of \$1394.00. Please charge any deficiency or credit any overpayment in the be due in this matter to Deposit Account No. 08-0380. A copy of this letter is accounting purposes.						

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Bull 2 MK Gerald M. Bluhm Registration No.: 44,035 Tel.: (781) 861-6240 Fax: (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: 12/16/99





UNITED STATES DEPARTMENT OF COMM Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO /TITLE

09/411,194

10/01/99

EMER

DEC99-55 (PD9

0242/1028

MARY LOU WAKIMURA ESQ HAMILTON BROOK SMITH AND REYNOLDS PC TWO MILITIA DRIVE LEXINGTON MA 02421-4799

NOT ASSIGNED

2781

DATE MAILED:

10/28/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

			•
If all required items on this form are filed with	in the period set above, the total a	mount owed by applicant a	s a
☐ small entity (statement filed) ☐ non-small € ☐ 1. The statutory basic filing fee is:	entity is \$ 1200		
missing.	\mathcal{D}		• • •
☐ insufficient.	\mathcal{O}	V	
Applicant must submit \$	to complete the basic filing fee	and/or file a small entity state	ement :
claiming such status (37 CFR 1.27). Z The following additional claims fees are du	10.		e special and the second
~ 1000			
504, for 00 to	otal claims over 20.		
\$ir	ndependent claims over 3.		
\$for multiple dependen	t claim surcharge.		
Applicant must either submit the addition	al claim fees or cancel additional clair	ns for which fees are due.	
3. The oath or declaration:			
is missing or unsigned. does not cover the newly submitted ite	· · ·		
An oath or declaration in compliance with	ins. 37 CFR 1. 63. including residence info	ormation and identifying the	annlication by
tne above Application Number and Filing L	Date is required.		
The signature(s) to the oath or declaration 1.43 or 1.47.	is/are by a person other than invento	r or person qualified under 37	7 CFR 1.42,
A properly signed oath or declaration in co	mpliance with 37 CFR 1 63 identifyin	as the application by the above	
Application Number and Filing Date, is req	uired.	ig the application by the abov	•
5. The signature of the following joint inventor	(s) is missing from the oath or declara	ation:	
An oath or declaration in compliance with :	37 CFR 1.63 listing the names of all ir	nventors and signed by the or	mitted
🖂 🌣 inventor(s), identifying this application by t	he above Application Number and Fili	ing Date, is required.	
6. A \$50.00 processing fee is required since	e your check was returned without	payment (37 CFR 1.21(m)).	
7. Your filing receipt was mailed in error becau	use your check was returned without p	payment.	222
8. The application was filed in a language other Applicant must file a verified English transl	er than English. Iation of the application: the \$130.00 s	set forth in 37 CER 1 17/k) w	# 38 X
previously submitted, and a statement tha	t the translation is accurate (37 CFR	1.52(d)).	PRES
9. OTHER:			<u> </u>
Direct the reply and any questions about this notice	ce to "Attention: Box Missing Parts "		
A copy of this no	otice <u>MUST</u> be returned wit	th the reply.	8
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CHU CHANCE	>		
Customer Service Center			2000年初1月
Initial Patent Examination Division (703) 308-1202	2		£ =82
			EXXX

FORM PTO-1533 (REV. 9/98)

PART 2 - COPY TO BE RETURNED WITH RESPONSE

EPEE TRANSMITTAL FOR PATENT APPLICATIONS		Attorney Docket Number DE		DEC99-55 (F	EC99-55 (PD99-2662) 9/411,194		
		Application Number	Application Number 09				
1999 🚡					el S. Emer		
ENER AIM CA	ALCULATION (includes any p	oreliminary amendment	DEC 1 3 1999	1		_	
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBE EXTRA	R (4) RAT	E (5) CALCULATIO	
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	48 - 20* =	28	x \$ 18	8 =	\$ 504	
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	2 - 3** =	0	x \$7	8 = 3	\$ 0	
	MULTIPLE DEPENDENT CL	AIMS (if applicable) (37 C	CFR 1.16(d))	+ \$ 26	0 = 3	\$	
				BAS (37 CFR 1.16	IC FEE (a) or (h))	\$ 760	
		ations =	\$ 1264				
		Reduction by 50% for filing	ng by small entity			\$	
			\$ 1264				
•	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = Petition for Extension of Time Fee (37 C.F.R. 1.17) =					\$ 130 \$	
		n Fee =	Ψ \$				
	* Reissue claims in excess of 2 ** Reissue independent claims of	O and over original natent	(only	when filed with app	ilication)	\$ 1394	
1. Smal	I entity status: a. [] A small entity s	tatement is enclosed.					
	b. [] A small entity s	tatement was filed in the	e prior non-pro	visional applicatior	and such	status is still	
į	b. [] A small entity s proper and des c. [] Is no longer cla	ired.	e prior non-pro	visional applicatior	and such	status is still	
2. [X]	proper and des	ired. imed. ereby granted to charge	e deposit accou	unt number 08-038	0 for any fe	es required un	
2. [X] 3. [X]	proper and des c. [] Is no longer cla A general authorization is h 37 CFR 1.16 and 1.17 in or	ired. imed. ereby granted to charge der to maintain pendene	e deposit accou cy of this applic	unt number 08-038	0 for any fe his authoriz	es required un ation is enclose	
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3. [X] 4. []	proper and des c. [] Is no longer cla A general authorization is h 37 CFR 1.16 and 1.17 in or for accounting purposes. A check is enclosed for \$13 Other:	ired. imed. ereby granted to charge der to maintain pendene	e deposit accou cy of this applic	unt number 08-038 ation. A copy of t	0 for any fe nis authoriz posit Accou	es required un ation is enclose	